Advanced Banking Supervision: Strategies and Techniques

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Barcelona (Spain)



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Why This Course

In an era of rapid financial innovation and evolving risks, experienced bank supervisors must employ advanced techniques to maintain safety, soundness, and regulatory compliance. This intensive 1-week course equips senior supervisors with cutting-edge strategies for risk-based oversight, emerging risk assessment, and advanced supervisory methodologies. Through practical case studies and interactive exercises, participants will enhance their ability to ensure robust banking governance and effective intervention.

What You'll Learn and Practice

By participating in this course, you will:

- Apply advanced risk-based supervision approaches in real-world scenarios.
- Identify, assess, and respond to emerging banking risks, including cybersecurity, climate, and operational risks.
- Conduct comprehensive, risk-focused bank examinations using advanced methodologies.
- Navigate complex regulatory frameworks and strengthen supervisory compliance practices.
- Formulate and implement effective supervisory actions, including enforcement and crisis management.

Program Flow

Day 1: Advanced Risk-Based Supervision

- Evolution of risk-based supervisory approaches
- Integrating microprudential and macroprudential perspectives
- Advanced risk assessment methodologies
- Supervisory planning and resource allocation

Day 2: Emerging Risks and Supervisory Challenges

- Cybersecurity and technological innovation risks
- Climate-related financial risks and sustainability considerations
- Operational resilience and business continuity planning
- Governance and culture risk assessment



Day 3: Advanced Examination Techniques

- Risk-focused examination planning and scoping
- Evaluating complex financial instruments and transactions
- Assessing internal controls and risk management frameworks
- Leveraging data analytics and technology-assisted supervision

Day 4: Regulatory Compliance and Enforcement

- Advanced regulatory requirements Basel III, IV
- Supervisory Review and Evaluation Process SREP
- Formulating and implementing supervisory measures
- Enforcement actions, remediation, and compliance oversight

Day 5: Supervisory Communication and Crisis Management

- Effective communication with bank management and boards
- Cross-border supervision and international regulatory cooperation
- Early intervention and crisis management techniques
- Case studies and decision-making exercises for supervisors

Training Methodology

This program combines theory, interactive exercises, and applied case studies to ensure participants can immediately apply skills in supervision:

- Risk-based simulation exercises and examination planning workshops
- Case studies on emerging risks, enforcement actions, and crisis management
- Interactive discussions on advanced regulatory frameworks and SREP implementation
- Peer collaboration and scenario-based supervisory decision-making exercises

Beyond the Course

Participants will leave the program able to:

- Conduct efficient, risk-focused bank examinations with confidence.
- Identify and address emerging banking risks proactively.
- Formulate and implement effective supervisory actions and enforcement strategies.
- Strengthen communication and collaboration with management, boards, and international regulators.
- Enhance leadership and decision-making capabilities in complex supervisory environments.

